

GP213/ #9 BT
10-10-02

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/844,717
Filing Date	04/27/2001
First Named Inventor	Shah
Group Art Unit	2131
Examiner Name	Not yet assigned
Attorney Docket Number	68110328.714

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
 - 1. Postcard
 - 2. SB/08a w/references

Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name
Brian C. McCormack

Signature

Brian C. McCormack

Date

09/27/2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

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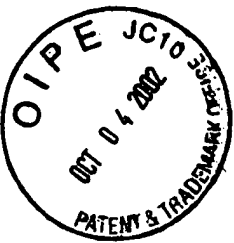
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Brian C. McCormack

Date

09/27/2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Shah et al.
Application No: 09/844,717
Filing Date: April 27, 2001
Examiner: Not Yet Assigned
Group Art No: 2131
Attorney Docket Number: 68110328.714
For: Database Security

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CERTIFICATE OF FIRST CLASS MAIL

DATE OF DEPOSIT: 09/27/2002

I, Brian C. McCormack, hereby certify that this paper or fee is being deposited with the United States Postal Service with sufficient postage "VIA FIRST CLASS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.8 on, or before the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231

Brian C. McCormack
(Signature)

09/27/2002
(Date of Signature)

Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

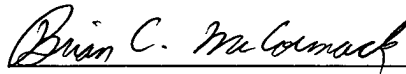
Applicant respectfully requests, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the art listed on the attached PTO/SB/08A be considered and cited in the examination of the above-identified application. A copy of this art is enclosed for the convenience of the Examiner.

Furthermore, pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that this art is material to patentability of the present.

Applicant respectfully submits that the claims of Applicant's above-referenced patent application are patentably distinguishable from these references.

The Examiner is requested to initial the attached form PTO-SB/08A and to return a copy of the initialed document to the undersigned as an indication that the attached references have been considered and made of record.

Respectfully submitted,

A handwritten signature in cursive script, reading "Brian C. McCormack", is written over a horizontal line.

Brian C. McCormack
Attorney for Applicant
Reg. No. 36,601

September 27, 2002
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PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/844,717
				Filing Date	04-27-2001
				First Named Inventor	Shah
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				Examiner Name	Not yet assigned
				Attorney Docket Number	68110328.714
Sheet	1	of	1		

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	09/844,717
Filing Date	04-27-2001
First Named Inventor	Shah
Art Unit	2131
Examiner Name	Not yet assigned
Attorney Docket Number	68110328 714

Filing Date	04-27-2001
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First Named Inventor	Shah
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Art Unit	2131
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Examiner Name	Not yet assigned
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Attorney Docket Number 68110328.714

[illegible][illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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